O'CER TO A MODALTTAL	Complete if Known				
O'FEE TRANSMITTAL	Application Number	10/039,622			
HOV 2 9 2004 for FY 2004	Filing Date	December 31, 2001			
	First Named Inventor	Dennis W. Vance			
Pater rees are subject to annual revision.	Examiner Name	Joshua L. Pritchett			
Applicant claims small entity status. See 37 CFR 1.27	Art Unit	2872			
TOTAL AMOUNT OF PAYMENT \$	Attorney Docket No.	18590-06192			

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None ☐ Deposit Account:		3. ADDITIONAL FEES				
Deposit Account Number 19-2555	Large	Entity	Small	Entity	Fee Description	Fee Paid
Deposit Account Name Fenwick & West LLP	Fee Code	Fee (\$)	Fee Code	Fee (\$)		
The Commissioner is authorized to: (check all that apply)	1051	130	2051	65	Surcharge - late filing fee or oath	
☐ Charge fee(s) indicated below ☒ Credit any overpayments	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this	1053 1812	130 2,520	1053	130 2,520	Non-English specification  For filing a request for ex parte reexamination	
application  Charge fee(s) indicated below, except for the filing fee	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge ree(s) indicated below, except for the ming ree	1805	1,840*		1,840*	Requesting publication of SIR after Examiner action	
to the above-identified deposit account.		.,	,	.,		
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
. Fee Fee Fee Fee Description Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month	
Code (\$) Code (\$)	1255	2,010	2255	1,005	Extension for reply within fifth month	
1001 770 2001 385 Utility filing fee	1401	330	2401	165	Notice of Appeal	
1002 340 2002 170 Design filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1003 530 2003 265 Plant filing fee	1403	290	2403	145	Request for oral hearing	
1004 770 2004 385 Reissue filing fee	1451	1,510	1451		Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive – unavoidable	
SUBTOTAL (1) (\$)		1,330	2453	665	Petition to revive – unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
Extra Claims Fee from Fee Paid	1502	480	2502	240	Design issue fee	
Total Claims -20**= X =	1503	640	2503	320	Plant issue fee	
Independent Claims X	1460	130	1460	130	Petitions to the Commissioner	
Multiple Dependent =	1807	50	1807	50	Processing fee under 37 CFR 1.17(g)	
Large Entity Small Entity	1806	180	1806	180	Submission of Information Disclosure Stmt	
Fee Fee Code (\$) Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1201 86 2201 43 Independent claims in excess of 3	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1203 290 2203 145 Multiple dependent claim, if not paid	1801	770	2801	385	Request for Continued Examination (RCE)	
1204 86 2204 43 **Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application	
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent	Other	fee (specif	y)			
SUBTOTAL (2) (\$) SUBTOTAL (3)					\$	
or number previously paid, if greater; For Reissues, see above	•Reduc	ced by Basi	c Filing F	ee Paid	.,	

SUBMITTED BY			•	Complete (if applicable)
Name (Print/Type)	Jennifer R. Bush	Registration No. (Attorney/Agent)	50,784	Telephone: (650) 335-7213
Signature	CARBI		Date .	November <u>23</u> , 2004

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40991(PTD). Rev. 10795	U.S. Department of Patent and Trade	Commerce mark Office	Application Number	10/039,622		
			Filing Date	December 31, 2001		
TRANSMITTAL FORM		First Named Inventor	Dennis W. Vance			
(to be used for all correspondence during pendency of filed application)		Group Art Unit Number	2872			
			Examiner Name	Joshua L. Pritchett		
Total Number of Pag	ges in This Submission	8	Attorney Docket Number	18590-06192		
	ENCL	OSURES	(check all that apply	v)		
Fee Transmittal Form (in duplicate) Check Enclosed Return Receipt Postcard Response to Notice to File Missing Parts Assignment & Recordation Cover Sheet Declaration Power of Attorney Application Data Sheet Information Disclosure Statement & PTO/SB/08A Copies of IDS Cited References Request for Corrected Filing Receipt Request for Correction of Recorded Assignment Amendment Under 37 C.F.R. §1.116 [5] Pages After Final Status Request		Check all that apply     Issue Fee Transmittal     Letter to Chief Draftsperson     Formal Drawing(s):   [ ] Sheet(s) of Figure(s) [ ]     Appeal Communication to Board of Appeals and Interferences     Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)     Certified Copy of Priority Document(s)     After Allowance Communication to Group				
REMARKS:						
SIGNATURE OF ATTORNEY OR AGENT .						
Signature:						
Attorney/Reg. No.:	Jennifer R. Bush / Re	g. No. 50,78	34	Dated:	November 23, 2004	
CERTIFICATE OF MAILING						
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.  Signature:						
Typed or Printed Nar	ne: Jennifer R. Bus	h	•	Dated:	November 23 2004	
Express Mail Mailing Number (optional):						

AZ





## IN THE UNITED STATES

## PATENT AND TRADEMARK OFFICE

APPLICANTS:

Dennis W. Vance and Charles Robert Wolfe

**SERIAL NO.:** 

10/039,622

FILING DATE:

December 31, 2001

LIGHT TRANSMISSIVE FILTER HAVING ANISOTROPIC PROPERTIES

TITLE:

AND METHOD OF FABRICATION

**EXAMINER:** 

Joshua L. Pritchett

**GROUP ART UNIT:** 

2872

ATTY. DKT. NO.:

18590-06192

## **CERTIFICATE OF MAILING**

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Dated: November 23, 2004

By:

Jennifer R. Bush, Reg. No.: 50,784

MAIL STOP AF COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

## AMENDMENT UNDER 37 C.F.R. §1.116

Sir:

This amendment for the patent application identified above is in response to the Final Office Action dated September 24, 2004, which set a shortened statutory period for response that expires on December 24, 2004.